SEC Form 3

**FORM 3**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL | |
|---|---|
| OMB Number: | 3235-0104 |
| Estimated average burden hours per response: | 0.5 |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*
Haglund Brian James

(Last) (First) (Middle)
4201 WOODLAND ROAD, POST OFFICE BOX 69

(Street)
CIRCLE PINES MN 55014

(City) (State) (Zip)

2. Date of Event Requiring Statement (Month/Day/Year)
09/04/2026

3. Issuer Name **and** Ticker or Trading Symbol
NORTHERN TECHNOLOGIES INTERNATIONAL CORP [ NTIC ]
Foreign Trading Symbol

4. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
Director
10% Owner
☑ Officer (give title below)
Other (specify below)
Chief Operating Officer

5. If Amendment, Date of Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
☑ Form filed by One Reporting Person
Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|---|
| Common Stock | 1,589.26 | D | |

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|---|---|---|---|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Stock Option (right to buy) | (1) | 08/31/2030 | Common Stock | 21,370 | 8.24 | D | |
| Stock Option (right to buy) | (1) | 08/31/2031 | Common Stock | 7,753 | 16.97 | D | |
| Stock Option (right to buy) | (1) | 08/31/2032 | Common Stock | 17,684 | 11.38 | D | |
| Stock Option (right to buy) | (1) | 08/31/2033 | Common Stock | 18,383 | 13.25 | D | |
| Stock Option (right to buy) | (2) | 08/31/2034 | Common Stock | 19,988 | 13.26 | D | |
| Stock Option (right to buy) | (3) | 08/31/2035 | Common Stock | 21,027 | 7.42 | D | |
| Stock Option (right to buy) | (4) | 08/31/2036 | Common Stock | 21,137 | 8.08 | D | |

**Explanation of Responses:**

1. This option has fully vested.
2. This option has vested with respect to 13,324 shares and will vest with respect to the remaining shares on September 1, 2027.
3. This option has vested with respect to 7,009 shares and will vest with respect to 7,009 shares on each of September 1, 2027 and September 1, 2028.
4. This option vests with respect to 7,045 shares on September 1, 2027 and with respect to 7,046 shares on each of September 1, 2028 and September 1, 2029.

/s/ Matthew C. Wolsfeld-Attorney-in-fact 09/09/2026
** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**