## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number: 3235-0287									
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1. Name and Address <u>WOLSFELD</u>	1 0		2. Issuer Name and Ticker or Trading Symbol <u>NORTHERN TECHNOLOGIES</u> <u>INTERNATIONAL CORP</u> [ NTIC ]		tionship of Reporting Perso all applicable) Director Officer (give title below)	ion(s) to Issuer 10% Owner Other (specify below)		
(Last) 4201 WOODLAI	(First) ND ROAD	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 09/01/2018		CFO and Corporate	,		
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line)	. Individual or Joint/Group Filing (Check Applicable .ine)			
CIRCLE PINES	MN	55014		X	Form filed by One Report	ting Person		
(City)	(State)	(Zip)			Form filed by More than 0 Person	One Reporting		
	Ta	able I - Non-Deriva	ative Securities Acquired, Disposed of, or Benefi	cially C	Dwned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar 5)			Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock								<b>67,171</b> <sup>(1)</sup>	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Numb of Derivati Securiti Acquire (A) or Dispose of (D) (II 3, 4 and	ive ies ed ed nstr.	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (right to buy)	\$36.45	09/01/2018		A		10,198		09/01/2019	08/31/2028	Common Stock	10,198	\$0	10,198	D	

Explanation of Responses:

1. Includes 596 shares that were purchased under the Northern Technologies International Employee Stock Purchase Plan.

/s/ Matthew C. Wolsfeld -Attorney-in-fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

09/05/2018